

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

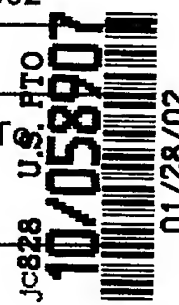
Our Docker No.: LL11.12-0051

Date: January 28, 2002

First Named Inventor: Ernest P. Brody

Title: Method Of Processing A Proteinaceous Material To  
Recover K-Casein Macropptide And Polymers Of A-  
Lactalbumin And B-Lactoglobulin

Express Mail No.: EL705881819US



APPLICATION ELEMENTS

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
3. ☒ Specification Total Pages [130]
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets [23]
5. ☒ Oath or Declaration Total Pages [2]
  - a. ☒ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)  
[Mark Box 5 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large  
table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Copy (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies; or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Submission  
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement with copies of  
Citations as necessary
13. ☐ Preliminary Amendment Total Pages ☐
14. ☒ Return Receipt Postcard (Should be specifically  
itemized)
15. ☐ Certified Copy of Priority document(s) (If foreign  
priority is claimed)
16. ☐ Request and Certification under 35  
U.S.C.122(b)(2)(B)(i). (Nonpublication Request)
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner  Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

19. CORRESPONDENCE ADDRESS

ATTY NAME  
AND REG. NO.

Philip F. Fox,  
Reg. No. 38,142

SIGNATURE:

*Philip F. Fox January 28, 2002*

ADDRESS

Kinney & Lange, P.A.  
THE KINNEY & LANGE BUILDING  
312 South Third Street  
Minneapolis, MN 55415-1002

TELEPHONE

(612) 339-1863

FAX: (612) 339-6580

FEE TRANSMITTAL		Complete if Known																																																																																																																																											
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A.		<b>3. ADDITIONAL FEES</b>  <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - Late filing fee or oath</td><td>*</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>*</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>*</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For Filing a Request for Reexamination</td><td>*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>*</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td>*</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td>*</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td>*</td></tr> <tr><td>128</td><td>1,960</td><td>280</td><td>980</td><td>Extension for reply within fifth month</td><td>*</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td>*</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td>*</td></tr> <tr><td>148</td><td>110</td><td>248</td><td>55</td><td>Terminal Disclaimer Fee</td><td>*</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>*</td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td>*</td></tr> <tr><td>142</td><td>1,310</td><td>242</td><td>670</td><td>Utility/Reissue issue fee (inc. advance copies)</td><td>*</td></tr> <tr><td>143</td><td>490</td><td>243</td><td>260</td><td>Design issue fee (inc. advance copies)</td><td>*</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>*</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>*</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Statement</td><td>*</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>*</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td>*</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>*</td></tr> </tbody> </table>		Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid	105	130	205	65	Surcharge - Late filing fee or oath	*	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*	139	130	139	130	Non-English specification	*	147	2,520	147	2,520	For Filing a Request for Reexamination	*	115	110	215	55	Extension for reply within first month	*	116	400	216	200	Extension for reply within second month	*	117	920	217	460	Extension for reply within third month	*	118	1,440	218	720	Extension for reply within fourth month	*	128	1,960	280	980	Extension for reply within fifth month	*	120	320	220	160	Filing a brief in support of an appeal	*	121	280	221	140	Request for oral hearing	*	148	110	248	55	Terminal Disclaimer Fee	*	140	110	240	55	Petition to revive - unavoidable	*	141	1,280	241	640	Petition to revive - unintentional	*	142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)	*	143	490	243	260	Design issue fee (inc. advance copies)	*	122	130	122	130	Petitions to the Commissioner	*	123	50	123	50	Petitions related to provisional applications	*	126	180	126	180	Submission of Information Disclosure Statement	*	581	40	581	40	Recording each patent assignment per property (times number of properties)	*	179	740	279	370	Request for Continued Examination (RCE)	*	Other fee (specify) _____					*
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Signature Philip F. Fox Reg. No. 38,142

Date January 28, 2002 Deposit Account No. 11-0982

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor : Ernest P. Brody Appln. No. : Filed : Herewith Title : Method Of Processing A Proteinaceous Material To Recover K-Casein Macropptide And Polymers Of A-Lactalbumin And B- Lactoglobulin Docket No. : LL11.12-0051	Group Art Unit: Examiner:
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LETTER TO OFFICIAL DRAFTSMAN

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

SENT VIA EXPRESS MAIL  
Express Mail No.: EL705881819US

Sir:

Enclosed are twenty-three (23) sheets of formal drawings for filing in the above-identified application.

Respectfully submitted,

KINNEY & LANGE, P.A.

Date: January 28, 2002 By: Philip F. Fox  
Philip F. Fox, Reg. No. 38,142  
THE KINNEY & LANGE BUILDING  
312 South Third Street  
Minneapolis, MN 55415-1002  
Telephone: (612) 339-1863  
Fax: (612) 339-6580

PFF:alg:rms